

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: Not yet known  
Applicant: Claude O. Clerc et al.  
Filed: concurrently herewith  
Title: Stent and Stent-Graft for Treating Branched Vessels  
TC/A.U.:  
Examiner:

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

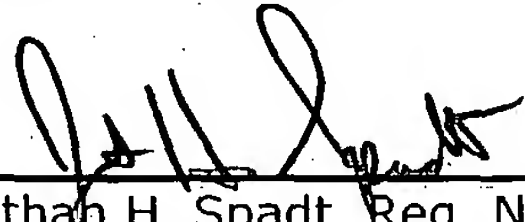
Under 37 C.F.R. § 1.98(d), copies of the patents and publications listed on the enclosed PTO Form 1449 are not required to be provided, because they were cited by or submitted to the Patent and Trademark Office in prior application Serial No. 10/358,029, filed February 4, 2003 which is relied upon for an earlier filing date under 35 U.S.C. § 120.

BSI-506US2

PATENT

This Information Disclosure Statement is being filed concurrently with the above-referenced application.

Respectfully submitted,

  
Jonathan H. Spadt, Reg. No. 45,122  
Attorney for Applicants

JHS/dhm

Enclosures: PTO Form 1449

Dated: June 27, 2003

P.O. Box 980  
Valley Forge, PA 19482-0980  
(610) 407-0700

☒ P.O. Box 980  
Valley Forge, PA 19482  
(610) 407-0700

☐ P.O. Box 1596  
Wilmington, DE 19899  
(302) 778-2600

**EXPRESS MAIL**

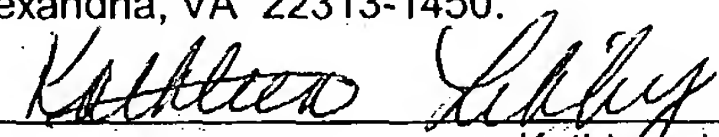
Mailing Label Number:

EV325925994US

Date of Deposit:

June 27, 2003

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Kathleen Libby

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for Form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 3

### Complete if Known

Application Number	Not Yet Known
Filing Date	Concurrently herewith
First Named Inventor	Claude O. Clerc et al.
Art Unit	
Examiner Name	
Attorney Docket No.	BSI-506US2

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear.
		Number - Kind Code <sup>2</sup> (if known)			
		US-5,496,364	03/05/1996	Schmitt	entire document
		US-5,522,880	06/04/1996	Barone et al.	entire document
		US-5,575,818	11/19/1996	Pinchuk	entire document
		US-5,718,159	02/17/1998	Thompson	entire document
		US-5,800,519	09/01/1998	Sandrock	entire document
		US-5,868,783	02/09/1999	Tower	entire document
		US-5,935,161	08/10/1999	Robinson et al.	entire document
		US-4,475,972	10/09/1984	Wong	entire document
		US-4,553,545	11/19/1985	Maass et al.	entire document
		US-4,655,771	04/07/1987	Wallsten	entire document
		US-4,655,771	09/10/1996	Wallsten	entire document
		US-4,732,152	03/22/1988	Wallsten et al.	entire document
		US-4,738,740	04/19/1988	Pinchuk et al.	entire document
		US-4,760,849	08/02/1988	Kropf	entire document
		US-4,771,773	09/20/1988	Kropf	entire document
		US-4,848,343	07/18/1989	Wallsten et al.	entire document
		US-4,850,999	07/25/1989	Planck	entire document
		US-4,875,480	10/24/1989	Imbert	entire document
		US-4,954,126	09/04/1990	Wallsten	entire document
		US-4,954,126	05/28/1996	Wallsten	entire document

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear.	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		EP 0 775 472 A2	05/28/1997		entire document	<input type="checkbox"/>
		EP 0 698 380 A1	02/28/1996		entire document	<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for Form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

### Complete if Known

Application Number	Not Yet Known
Filing Date	concurrently herewith
First Named Inventor	Claude O. Clerc et al.
Art Unit	
Examiner Name	
Attorney Docket No.	BSI-506US2

SHEET 2 of 3

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-4,990,151	02/05/1991	Wallsten	entire document
		US-5,019,090	05/28/1991	Pinchuk	entire document
		US-5,026,377	06/25/1991	Burton et al.	entire document
		US-5,061,275	10/29/1991	Wallsten et al.	entire document
		US-5,071,407	12/10/1991	Termin et al.	entire document
		US-5,084,065	01/28/1992	Weldon et al.	entire document
		US-5,092,877	03/03/1992	Pinchuk	entire document
		US-5,116,360	05/26/1992	Pinchuk et al.	entire document
		US-5,163,951	11/17/1992	Pinchuk et al.	entire document
		US-5,201,757	04/13/1993	Heyn et al.	entire document
		US-5,229,431	07/20/1993	Pinchuk	entire document
		US-5,356,423	10/18/1994	Tihon et al.	entire document
		US-5,405,380	04/11/1995	Gianotti et al.	entire document
		US-5,415,664	05/16/1995	Pinchuk	entire document
		US-5,464,408	11/07/1995	Duc	entire document
		US-5,484,444	01/16/1996	Braunschweiler et al.	entire document
		US-5,534,287	07/09/1996	Lukic	entire document
		US-5,562,726	10/08/1996	Chuter	entire document
		US-5,575,817	11/19/1996	Martin	entire document
		US-5,575,818	11/19/1996	Pinchuk	entire document

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)				
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO:**  
**Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for Form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number Not Yet Known

Filing Date Concurrently herewith

First Named Inventor Claude O. Clerc

Art Unit

Examiner Name

Attorney Docket No. BSI-506US2

SHEET 3 of 3

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-5,591,172	01/07/1997	Bachmann et al.	entire document
		US-5,591,226	01/07/1997	Trerotola et al.	entire document
		US-5,591,228	01/07/1997	Edoga	entire document
		US-5,591,229	01/07/1997	Parodi	entire document
		US-5,607,466	03/04/1997	Imbert et al.	entire document
		US-5,628,787	05/13/1997	Mayer	entire document
		US-5,628,788	05/13/1997	Pinchuk	entire document
		US-5,632,772	05/27/1997	Alcime et al.	entire document
		US-5,639,278	06/17/1997	Dereume et al.	entire document
		US-5,645,559	07/08/1997	Hachtman et al.	entire document
		US-5,653,747	08/05/1997	Dereume	entire document
		US-5,662,703	09/02/1997	Yurek et al.	entire document
		US-5,667,486	09/16/1997	Mikulich et al.	entire document
		US-5,700,269	12/23/1997	Pinchuk et al.	entire document
		US-5,713,917	02/03/1998	Leonhardt et al.	entire document
		US-5,716,365	02/10/1998	Goicechea et al.	entire document
		US-5,723,004	03/03/1998	Dereume et al.	entire document
		US-5,769,882	06/23/1998	Fogarty et al.	entire document
		US-6,344,056	02/05/2002	Dehdashtian	entire document
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO:**  
**Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.